

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF WEST VIRGINIA**

**Cheryl Dean Riley**  
Clerk of Court

**OFFICE OF THE CLERK OF COURT  
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**Michelle Widmer-Eby**  
Chief Deputy Clerk

September 29, 2021

Via CM/ECF

Jolene Suzette Knotts-Carroll,  
Helen M. Morris, Chapter 13 Trustee, and  
Timothy M. Carroll  
c/o Aaron C. Amore  
Amore Law, PLLC  
206 West Liberty Street  
P O Box 386  
Charles Town, WV 25414

Via CM/ECF

PNC Mortgage, a division of PNC Bank, N.A.  
c/o Braun A. Hamstead  
Hamstead & Associates, LC  
507 S. Fairfax Blvd.  
Charles Town, WV 25414  
and  
Richard Allan Sussmann  
Hamstead & Associates, LC  
PO Box 730  
113 Fairfax Blvd.  
Charles Town, WV 25414

In Re: Jolene Suzette Knotts-Carroll, et al. v. PNC Mortgage  
Civil Action No. 3:11-cv-71 and 3:11-cv-72 (Consolidated)

500 West Pike Street, Room 301  
Clarksburg, WV 26302  
(304) 622-8513

P.O. Box 1518  
Elkins, WV 26241  
(304) 636-1445

217 W. King Street, Room 102  
Martinsburg, WV 25401  
(304) 267-8225

Dear Ms. Knotts-Carroll, Ms. Morris, Mr. Carroll, and PNC Mortgage,

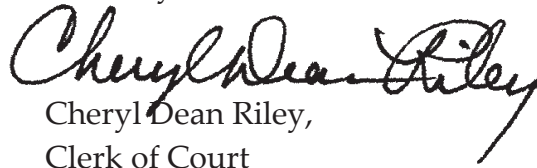
I have been contacted by Chief Judge, Gina M. Groh, who presided over the above-mentioned case. Judge Groh informed me that it has been brought to her attention that while she presided over the case, her husband owned stock in PNC. Her husband's ownership of stock neither affected nor impacted her decisions in this case, which was terminated after settlement upon entry of an Agreed Order of Dismissal, with no substantive orders issued by Judge Groh. However, her husband's stock ownership would have required recusal under the Code of Conduct for United States Judges, and thus, Judge Groh directed that I notify the parties of the conflict.

Advisory Opinion 71, from the Judicial Conference Codes of Conduct Committee, provides the following guidance for addressing disqualification that is not discovered until after a judge has participated in a case:

[A] judge should disclose to the parties the facts bearing on disqualification as soon as those facts are learned, even though that may occur after entry of the decision. The parties may then determine what relief they may seek and a court (without the disqualified judge) will decide the legal consequence, if any, arising from the participation of the disqualified judge in the entered decision.

Although Advisory Opinion 71 contemplated disqualification after a Court of Appeals oral argument, the Committee explained "[s]imilar considerations would apply when a judgment was entered in a district court by a judge and it is later learned that the judge was disqualified." With Advisory Opinion 71 in mind, you are invited to respond to Judge Groh's disclosure of a conflict in this case. Should you wish to respond, please submit your response in writing by filing it on the docket of this case on or before **October 13, 2021**. Any response will be considered by another judge of this court without the participation of Judge Groh.

Sincerely,

  
Cheryl Dean Riley,  
Clerk of Court

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